

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
13 May 2004 (13.05.2004)

PCT

(10) International Publication Number
WO 2004/040834 A2

(51) International Patent Classification⁷: **H04L 7/00**

(74) Agent: **McCORMACK, Derek J.**; Motorola European Intellectual Property Operations, Midpoint, Alencon Link, Basingstoke RG21 7PL (GB).

(21) International Application Number:
PCT/EP2003/050774

(22) International Filing Date: 31 October 2003 (31.10.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
02292728.9 31 October 2002 (31.10.2002) EP

(71) Applicant (for all designated States except US): **MOTOROLA INC** [US/US]; 1303 E.Algonquin Road, Schaumburg 60196 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **MAZET, Laurent** [FR/FR]; 210 rue Saint Maur, F-75010 Paris (FR). **BUZENAC-SETTINERL, Veronique** [FR/FR]; 14 Allee des Jacinthes, F-91300 Massy (FR). **DE COURVILLE, Marc** [FR/FR]; 43 Rue du Moulin Vert, F-75014 Paris (FR). **DUHAMEL, Pierre** [FR/FR]; 25 Rue du coteau, F-92350 Plessis Robinson (FR).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

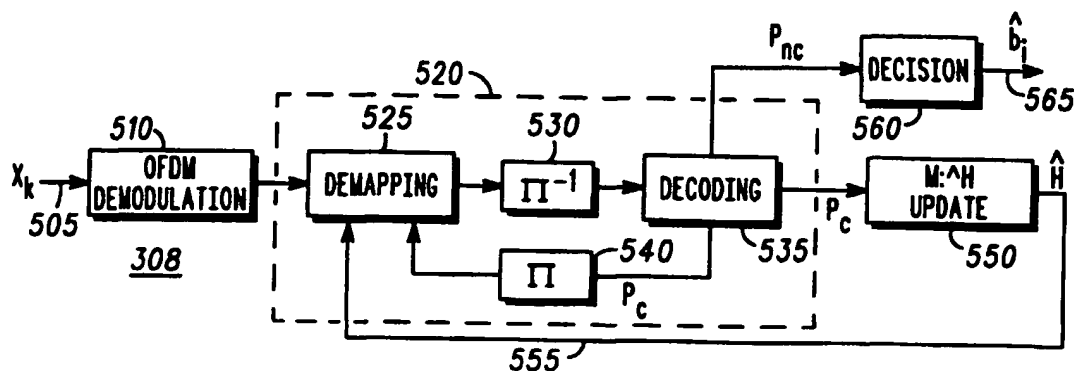
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: COMMUNICATION UNIT AND METHOD OF CHANNEL ESTIMATION IN AN OFDM COMMUNICATION SYSTEM



(57) Abstract: A method (500) of channel estimation in a wireless orthogonal frequency division multiplexed (OFDM) communication system (700). The received data stream (505) is demodulated in OFDM demodulation function (510). The output from the demodulation function is input to a channel estimation function, which incorporates an estimation function (520) and a maximization function (550). The OFDM demodulated signal is input to a de-mapping function (525), which outputs de-mapped signals to a de-interleaving function (530), and thereafter to a soft output decoder function (535). In this manner, the channel estimation function is operational over both the de mapping and decoding functions. The output from the decoding function is fed back to the de-mapping function via an interleaving function 540. The output from the improved estimation function (520) is input to a maximization function (550). The output from the maximization function is input to the de mapping function.

WO 2004/040834 A2

COMMUNICATION UNIT AND METHOD OF CHANNEL ESTIMATION IN AN
OFDM COMMUNICATION SYSTEM

FIELD OF THE INVENTION

5

This invention relates to an estimation of radio-channel
propagation conditions, in order to equalise their effect
on transmitted data. The invention is applicable to
improving an accuracy of channel estimation in an
10 orthogonal frequency division multiplex (OFDM) wireless
receiver.

BACKGROUND OF THE INVENTION

15 Wireless communication systems, for example cellular
telephony or private mobile radio communication systems,
typically provide for radio telecommunication links to be
arranged between a plurality of base transceiver stations
(BTSS) and a plurality of subscriber units, often termed
20 mobile stations (MSs).

Wireless communication systems are distinguished over
fixed communication systems, such as the public switched
telephone network (PSTN), principally in that mobile
25 stations move between BTS (and/or different service
providers) and in doing so encounter varying radio
propagation environments.

Methods of communicating information simultaneously exist
30 where communication resources in a communication network
are shared by a number of users. Such methods are termed
multiple access techniques. A number of multiple access

techniques exist, such as frequency division multiple access (FDMA), time division multiple access (TDMA), and code division multiple access (CDMA).

- 5 The communication link from a BTS to a MS is referred to as the down-link. Conversely, the communication link from a MS to the BTS is referred to as the up-link.

- In wireless communication systems, there is a need to
10 estimate the effect caused by the 'wireless communication channel' on the data being transmitted. Channel estimation is required so that the received data can be equalised to reduce, restore or minimise signal degradation caused by such transmission channel
15 impairments. A radio channel is said to be equalised if the channel impairments can be eliminated or significantly reduced.

- In the field of this invention, the most conventional
20 strategy in estimating radio channel propagation conditions is to model the radio channel by a finite impulse response (FIR) filter. The channel estimation is typically performed by periodically transmitting a known data sequence, generally referred to as a training
25 sequence, over the desired radio channel. Such training sequences are a-priori known by the receiver. The training sequence is extracted from the received, desired data stream and is used to compute channel estimates.

- 30 In the field of this invention, namely that of Orthogonal Frequency Division Multiplexed (OFDM) systems, also termed multi-carrier systems, the data stream is divided

into several (N) sub-streams. These sub-streams are transmitted on 'N' orthogonal sub-carriers at different frequencies, for example by means of an inverse fast Fourier transform (IFFT) at the transmitting unit.

5

One of the main advantages of OFDM systems is their very simple equalization scheme, which is reduced to a multiplication of the FFT outputs (of each carrier frequency) by the frequency domain channel coefficients.

10 Of course, the true channel coefficients are unknown, and have to be estimated. As an illustration, the mean square error between the true channel coefficients and the channel coefficients at time 0 (time 0 being chosen when a training symbol is received) is plotted in
15 function of time on FIG. 1, for an HIPERLAN/2 channel with a mobile terminal moving at 3m/s.

Referring now to FIG. 2, a classical Bit interleaved and convolutionally coded (BICC) OFDM system 200 is shown.

20 The BICC OFDM system 200 includes a BICC OFDM transmitter 210. The BICC transmitter 210 receives a data stream 212 d_i and convolutionally codes 215 the data stream to produce an output b_i 217. The convolutionally coded output b_i 217 is input to a bit interleaver 220. The
25 output from the bit interleaver 220 is then input to a mapping function 225, which associates a subset of bits x_k 228 to a location on a constellation. The type of constellation generated by the transmitter 210 is dependent upon the modulation scheme employed by the OFDM
30 modulator 230. The OFDM modulator then outputs the OFDM modulated signal over the communication channel 235.

A BICC OFDM receiver 250 receives the OFDM modulated signal over the communication channel 235. In effect, the receiver 250 performs the inverse operations of the BICC OFDM transmitter 210. In this regard, the receiver 250 includes an OFDM demodulator 255 to translate the received constellation locations into a sequence of bit subsets. The bit subsets are then input to a demapping function 260. In the demapping function, bit metrics are computed to feed the Viterbi decoder 270. This computation involves the frequency channel coefficients, which must be estimated periodically. A bit de-interleaving function 265 arranges the received bit-stream for decoding in the Viterbi decoder 270.

The above receiver arrangement presents a number of significant drawbacks. In particular, the process to de-map, decode and perform channel estimation separately, as three distinct and independent functions, only enables the respective individual operations to be optimised.

In J. Boutros, C. Lamy , F. Boixadera, "Bit-interleaved coded modulation for multiple-input multiple-output channels", IEEE ISSSTA , Sept. 2000, a method performing joint de-mapping, decoding and channel estimation using an estimation-maximisation (EM) algorithm and a turbo-demodulation procedure has been proposed. However, the proposed algorithm has been derived in a single carrier context and is therefore unsuitable for OFDM implementation.

A turbo channel estimation method using EM algorithm in an OFDM context has been proposed in E. Jaffrot, M. Siala, "Turbo channel estimation for OFDM systems on highly time and frequency selective channels", Proc. ICASSP2000. However, the channel estimation method assumes, as a pre-requisite, a phase-shift keyed (PSK) modulation scheme. As such, the method is limited in its applications and works only for binary PSK (BPSK) and quadrature PSK (QPSK) mapping. Thus, it cannot be applied to high bit rate applications such as HIPERLAN/2 (which uses a quadrature amplitude modulation (QAM) scheme). Moreover, it uses pilot carriers, which reduces the application bit rate.

Thus, there exists a need in the field of the present invention to provide an improved channel estimation method in an OFDM communication system, wherein at least some of the aforementioned disadvantages may be alleviated.

20

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates a graph of mean square error (MSE) for a BRAN A channel with a mobile terminal (MT) moving at 3m/s; and

25

FIG. 2 shows a simplified processing block diagram of a bit-interleaved and convolutionally coded OFDM communication system.

30

Exemplary embodiments of the present invention will now be described, with reference to the accompanying drawings, in which:

5 FIG. 3 illustrates a wireless communication unit adapted in accordance with a preferred embodiment of the present invention;

FIG. 4 illustrates a channel estimation model applicable
10 to the preferred embodiment of the present invention.

FIG. 5 illustrates a block diagram of a decoding unit adapted in accordance with a preferred embodiment of the present invention;

15

FIG. 6 illustrates two examples of mapping that can be used in the preferred embodiment of the present invention;

20 FIG. 7 illustrates a model of an OFDM system used in the preferred embodiment of the present invention;

FIG. 8 illustrates a block diagram of a decoding unit's forward-backward algorithm in accordance with a preferred
25 embodiment of the present invention; and

FIG. 9 shows bit-error-rate simulation results of the channel estimator according to the preferred embodiment of the invention.

30

DESCRIPTION OF PREFERRED EMBODIMENTS

In summary, the preferred embodiments of the invention described below perform blind channel estimation for each OFDM symbol, which allows the receiver to track the channel variations, and consequently to increase the time
5 between training sequences. In particular, the channel estimate of the frequency channel coefficients for each OFDM symbol is performed using an Expectation-Maximization (EM) algorithm that includes estimated channel coefficients using at least one other, and
10 preferably all the other sub-carriers.

Referring now to FIG. 3, there is shown, by way of example, a block diagram of a wireless communication unit, adapted to support the inventive concepts of the
15 preferred embodiments of the present invention. The wireless communication unit 300 contains an antenna 302 preferably coupled to an antenna switch 304 that provides isolation between a receiver and a transmitter chain
within the wireless communication unit 300.

20 The receiver chain shown includes receiver front-end circuitry 306 (effectively providing reception, filtering and intermediate or base-band frequency conversion). The front-end circuit 306 is serially coupled to a signal
25 processing function 308. An output from the signal processing function is provided to a suitable output device 310.

The signal processing function 308 performs all signal
30 processing functions for the wireless communication unit 300, including demodulation, de-mapping, bit de-interleaving, channel estimation and decoding. In

accordance with the preferred embodiments of the present invention, the signal processing unit 308 has been adapted to perform EM-based channel estimation, using probabilities on coded bits. These probabilities may be
5 provided by any channel decoding scheme yielding soft outputs, e.g. a so-called BCJR algorithm - see L. Bahl, J. Cocke, F. Jelinek and J. Raviv, "Optimal Decoding of Linear Codes for Minimizing Symbol Error Rate", IEEE transaction on Information Theory, March 1974. The
10 preferred embodiment uses a turbo-demodulation process, such that it is able to jointly perform de-mapping and decoding of the received signal. The signal processing function 308 is further adapted inasmuch as it employs a new channel estimation method, as is further described
15 with regard to FIG. 5.

The receiver chain shown also includes received signal strength indicator (RSSI) circuitry 312 (shown coupled to the receiver front-end 306, although the RSSI circuitry
20 312 could be located elsewhere within the receiver chain). The RSSI circuitry is coupled to a controller 314 for maintaining overall subscriber unit control. The controller 314 is also coupled to the receiver front-end circuitry 306 and the signal processing function 308
25 (generally realised by a digital signal processor (DSP)). The controller 314 may therefore receive bit error rate (BER) or frame error rate (FER) data from recovered information. The controller 314 is coupled to the memory device 316 for storing operating regimes, such as
30 decoding/encoding functions and the like. A timer 318 is typically coupled to the controller 314 to control the timing of operations (transmission or reception of time-

dependent signals) within the wireless communication unit 300.

As regards the transmit chain, this essentially includes
5 an input device coupled in series via transmit signal processor 328 to a transmitter/ modulation circuit 322. Thereafter, any transmit signal is passed through a power amplifier 324 to be radiated from the antenna 302. The transmitter/ modulation circuitry 322 and the power
10 amplifier 324 are operationally responsive to the controller, with an output from the power amplifier coupled to the duplex filter or circulator 304. The transmitter/ modulation circuitry 322 and receiver front-end circuitry 306 comprise frequency up-conversion and
15 frequency down-conversion functions (not shown).

Of course, the various components within the wireless communication unit 300 can be arranged in any suitable functional topology that is able to utilise the inventive
20 concepts of the present invention. Furthermore, the various components within the wireless communication unit 300 can be realised in discrete or integrated component form, with an ultimate structure therefore being merely an arbitrary selection. The preferred decoding and
25 channel estimation function can be implemented in software, firmware or hardware, with the function being implemented in a software processor (or indeed a digital signal processor (DSP)) that performs the decoding function, merely a preferred option.

30

The transmitter signal processor 328, which is shown as being distinct from the receiver signal processor 308 for

clarity purposes only, includes a convolutional encoder or a turbo-coding function.

In accordance with a preferred embodiment of the present invention, an improved channel estimation algorithm for the OFDM system is included. The channel estimation uses an Expectation-Maximisation (EM) algorithm. This is a parametric estimation algorithm, which attempts to estimate the parameters ' θ ' 404 of a system 400 from the observations of its output y 406 blindly without knowing its input x 402, as shown in the model in FIG. 4.

The EM algorithm is an iterative procedure, which maximizes the likelihood function in two steps:

15

A first Expectation step (E-step): which comprises a computation of:

$$E_x[P(x|y, \theta^{(p)})] \quad [1]$$

20 and

A second Maximization step (M-step):

$$\theta^{(p+1)} = \underset{\theta}{\operatorname{argmax}} P(y|\theta, \hat{x}^{(p)}) \quad [2]$$

25

In a practical implementation, the maximisation (M-)step is performed by maximizing the auxiliary function:

$$Q(\theta, \theta^{(p)}) = E_x[\log P(x, y|\theta)|y, \theta^{(p)}] \quad [3]$$

30

In accordance with the preferred embodiment of the present invention, the auxiliary function of the channel estimation algorithm has been adapted to take into account OFDM specificities. Indeed, the auxiliary
 5 function has been rewritten as:

$$Q(H_m, H_m^{(p)}) = E_{x_m} [\log P(x_m, y_m, \tilde{H}^{(m)} | H_m) | y_m, H_m^{(p)}] \quad [4]$$

where: $\tilde{H}^{(m)}$ stands for the vector H with a 0 on the m^{th}
 10 component. This allows, when estimating the channel coefficient of the m -th sub-carrier, to use and take advantage of the prior estimations of the channel coefficients of the other frequency domain sub-carriers.

15 In addition, the Expectation step has been configured to be an estimation of the probability on decoded bits, which are needed for the M-step. These probabilities are provided by any decoding scheme yielding soft outputs. Furthermore, the preferred embodiment of the present
 20 invention, proposes to use a turbo-demodulation procedure, in order to optimise jointly the de-mapping and the decoding steps. In this manner, the decoding algorithm leads to a much better performance than a non-iterative decoding scheme.

25 However, it is within the contemplation of the invention that the inventive concepts herein described are applicable to any convolutional encoder, i.e. it is applicable for any rate and any puncturing scheme.
 30 Furthermore, the decoding scheme of the present invention can be applied to any encoded data that can be decoded by

a soft output decoder, i.e. any convolutional or turbo decoder.

Referring now to FIG. 5, a block diagram model 500 of the
5 preferred channel estimation procedure is illustrated.
The received data stream 505 is demodulated in OFDM
demodulation function 510. The output from the
demodulation function 510 is input to a channel
estimation function, which incorporates an estimation
10 function 520 and a maximization function 550.

The OFDM demodulated signal is input to a de-mapping
function 525, which outputs de-mapped signals to a de-
interleaving function 530, and thereafter to a soft
15 output decoder function 535. In this manner, the channel
estimation function is operational over both the de-
mapping and decoding functions.

The de-mapping function in the preferred embodiment, i.e.
20 the function that associates a subset of bits to a
modulation constellation location, is able to operate
with any labelling map. For example, as shown in FIG. 6,
the de-mapping operation may be performed on a subset of
a 16-QAM signal, which is composed of four bits. Each
25 subset has to be associated to one of the sixteen
positions of the constellation. There are a number of
different ways to define the association function, which
affect the performance of the decoding system. For
example, constellation 610 in FIG. 6 illustrates a Gray
30 labelling structure, which is deemed optimum when a
Viterbi decoder is used. However, when turbo-
demodulation is used, an alternative mapping format such

as the set partitioning format 620 may provide better results.

The output from the decoding function 535, is fed back to
 5 the de-mapping function 525 via an interleaving function
 540. The output from the improved estimation function
 520 is input to a maximization function 550. The output
 from the maximization function 550 is input to the de-
 mapping function 525.

10

It is noteworthy that this arrangement also yields
 decoded bits, in a bit-stream 535. The bit decisions are
 made from decision function 560. Thus, the improved
 channel estimation arrangement is a joint channel
 15 estimation and decoding scheme.

In accordance with the preferred embodiment of the
 present invention, the channel estimation operation has
 been adapted to take into account the OFDM specificities,
 20 using the coded bits on neighbouring frequency channels

Referring now to FIG. 7, a block diagram of a parallel
 equivalent representation of a cyclic-prefix OFDM
 modulator-demodulator 700 is illustrated. In effect, an
 25 OFDM transmitter wishes to emit a set of bits $\{b_n^{(m)}\}_{1 \leq m \leq M, 1 \leq n \leq N}$ 702
 over a channel $\{h_l\}_{1 \leq l \leq L}$ using, say, a 2^N -QAM scheme 704.

First every subset of N bits $\{b_n^{(m)}\}_{1 \leq n \leq N}$ 702 is modulated
 into a symbol x_m . In the OFDM context, the $\{x_m\}_{1 \leq m \leq M}$
 30 symbols 706 are transformed from a frequency domain
 representation into a time domain using an inverse fast

fourier transform (IFFT). The time-domain signals are then serialized and convolved by the channel filter. The signals are effectively corrupted by noise $\{n_m\}_{1 \leq m \leq M}$ 732 before they are finally returned into the frequency domain using a FFT (not shown) to produce an output
 5 signal $\{y_m\}_{1 \leq m \leq M}$ 734.

It is noteworthy that in a standard OFDM transmitted signal, the signal is confined into a spectrum mask by precluding some carriers from being used, especially the
 10 ones at the top and the bottom of the FFT. In this regard, such carrier values are set to zero.

An important characteristic of OFDM communication systems, utilised in the preferred embodiment of the present invention, is that OFDM systems are dimensioned so that the length of the channel impulse response ('CIR') is smaller than the cyclic prefix size. Accordingly, it is possible to use the classical OFDM
 15 system model representation of FIG. 7.

Let us consider the case where h is a vector of M independent variables, with its first L components being L independent Rayleigh fading values with variance σ_1^2 , $1 = 1, \dots, L$. Let us further assume that the $M-L$ other components are independent Rayleigh fadings with a small variance ϵ^2 .
 25

If the variance ϵ is small enough, h has been determined as providing a reasonably good model of the time domain
 30

channel. This leaves the frequency domain channel as being represented by:

$$H = Fh, \text{ with } F = \frac{1}{\sqrt{M}} \left[e^{-2\pi j \frac{nk}{M}} \right]_{(j,k) \in \{1,M\}^2} \quad [5]$$

5

In accordance with the preferred embodiment of the present invention, let us define a new auxiliary function that provides an improved estimate of a channel coefficient H_m . It is noteworthy that the improved
10 channel estimate considers the channel coefficients on all other carriers in order to refine/track the primary channel coefficient.

The inventors have appreciated that knowledge of the
15 channel coefficients from other carriers is useful, as the decoder needs an estimate of the frequency channel coefficients $\{H_m\}_{1 \leq m \leq M}$ to eradicate the channel effects from the received coded bits. Indeed, in the preferred
embodiment of the present invention, the frequency
20 channel coefficients from the Fourier transform of the time channel coefficients $\{h_l\}_{1 \leq l \leq L}$ are utilised in this regard.

Thus:

$$25 \quad \underline{H} = F \underline{h} \quad [6]$$

Where:

\underline{H} is a column vector of $\{H_m\}_{1 \leq m \leq M}$,

\underline{h} is a column vector of $\{h_l\}_{1 \leq l \leq L}$ filled with zeros for the $M-L$ last coefficients, and

F is a ' $M \times M$ ' matrix filled with Fourier coefficients.

At the opposite end of the time domain, the values of
 5 elements of \underline{H} , are unknown. Indeed, due to the
 introduction of white noise in the model, it can be
 readily assumed that all of the elements are corrupted.

The inventors of the present invention have appreciated
 10 that a standard channel estimation method, such as

$\hat{H}_m = \frac{y_m}{x_m}$, where x_m is a known symbol, leads to a poor
 result.

In contrast, the proposed method of using the knowledge
 15 of the channel coefficients from other carriers helps to
 refine the values of channel estimate. In particular,
 the definition of the statistical model h and the cost
 function are key distinguishing features of the inventive
 concepts of the present invention.

20

Thus, in the auxiliary function, we add $\tilde{H}^{(m)}$ in the
 following manner:

$$Q(H_m, H_m^{(p)}) = E_{x_m} [\log P(x_m, y_m, \tilde{H}^{(m)} | H_m) | y_m, H_m^{(p)}] \quad [7]$$

25

Where:

$\tilde{H}^{(m)}$ stands for the vector H with a 0 on the m^{th}
 component.

The maximization of this function leads, after some computational effort, to the following channel estimate update formula:

$$5 \quad H_m^{(p+1)} = \frac{\sum_{x_m} P(y_m | x_m, H_m^{(p)}) P(x_m) [y_m \bar{x}_m - \sigma^2 (\Delta_{SH}^{-1})_m \tilde{H}^{(m)}]}{\sum_{x_m} P(y_m | x_m, H_m^{(p)}) P(x_m) \left[|x_m|^2 - \frac{\sigma^2}{v^2} + \frac{v^2}{\gamma^2} \right]} \quad [8]$$

where:

$$\gamma^{-2} = [\Delta_{SH}^{-1}]_{(m,m)}, \quad \Delta_{SH} = SF \text{Diag}([\sigma_1^2 \dots \sigma_L^2 \epsilon^2 \dots \epsilon^2]) FS^T \quad [9]$$

and

$$10 \quad v^2 = \frac{1}{M} \left[\sum_{l=1}^L \sigma_l^2 + (M-L) \epsilon^2 \right]. \quad [10]$$

S is the information carriers selection matrix. When dealing with a full sub-carrier OFDM symbol, γ^{-2} can be rewritten into:

$$15 \quad \gamma^{-2} = \frac{1}{M} \sum_{l=1}^L \sigma_l^2 + \frac{M-L}{M} \epsilon^2. \quad [11]$$

It is noteworthy that the general expression of γ^{-2} of equation [9] takes into account the fact that some sub-carriers are not used, which is always the case in practice.

In practice, three parameters are needed to implement the aforementioned formula, namely: $P(y_m | x_m, H_m^{(p)})$, $P(x_m)$ and $\tilde{H}^{(m)}$.

If we assume that the channel additive noise is a Gaussian white noise of variance σ^2 , $P(y_m | x_m, H_m^{(p)})$ may be viewed as:

$$P(y_m | x_m, H_m^{(p)}) = \frac{1}{\pi\sigma^2} e^{-\frac{|y_m - H_m^{(p)} x_m|^2}{\sigma^2}} \quad [12]$$

5

Where:

$P(x_m)$ is the product of the probabilities of each coded bit involved in the symbol x_m . The turbo-demodulation process provides an estimation of these probabilities. Thus, $P(x_m)$ is the result of the E-step; and

$\tilde{H}^{(m)}$ is unknown, but each of its component $\tilde{H}_i^{(m)}$ may be taken as the current estimate of the channel coefficient, i.e. $\tilde{H}_i^{(m)} = H_i^{(p+1)}$ for $i < m$ and $\tilde{H}_i^{(m)} = H_i^{(p)}$ for $i > m$.

However, the inventors of the present invention have recognised that the above methodology would lead to the last set of coefficients being estimated more accurately than the first set of coefficients. Hence, to remove this drawback and improve the estimation, the inventors propose implementing a 'forward-backward' procedure to further improve the channel refinement.

25

The preferred implementation of the forward/backward process is illustrated in FIG. 8. The channel refinement is performed on a carrier-by-carrier basis. Received data is input to the Turbo demodulation estimation

process 804, as illustrated in FIG. 6. Furthermore, the received data is also input to the maximization process 806, which comprises an algorithm having two steps, i.e. one 'forward' step 808 and one 'backward' step 810. The operation of the forward step 808 and the backward step 810 is performed on the received data and the channel estimation values output 812 from the estimation algorithm 804. The operation of the forward step 808 and the backward step 810 is performed making bit decisions 814 using the information bit probabilities. The output of the process is a sequence of received bits 816.

In accordance with the preferred embodiment of the present invention, the forward step is implemented as follows:

The H_m coefficients are estimated from $m=1$ to $m=M$.

In this step, when computing the channel coefficient on sub-carrier m , the channel coefficient estimates on the other sub-carriers l , such that $m < l \leq M$, come from the previous iteration. The channel coefficient estimates on the other sub-carriers l such that $1 ('one') \leq l < m$ come from the actual iteration.

The backward step is implemented as follows:

The H_m coefficients are estimated from $m=M$ to $m=1$, using the estimates of the forward step.

In this step, when computing the channel coefficient on sub-carrier m , the channel coefficient estimates on the other sub-carriers l such that $m < l \leq M$ come from the forward step, and the channel coefficient estimates on

the other sub-carriers l such that $l('one') \leq l < m$ come from the present backward step.

In this manner, approximately the same level of accuracy
5 of each channel coefficient can be achieved, thereby improving the channel estimate performance.

To fully evaluate the performance of this method, a set of Monte-Carlo simulations was performed over two hundred
10 channels to estimate the bit error rate (BER) and the packet error rate (PER). The simulation context was performed using one hundred OFDM symbols of sixty-four sub-carriers preceded by two pilot symbols. This is useful in obtaining a first channel estimate. The
15 simulation was performed over sixteen independent Rayleigh fading channels. A half rate convolutional encoder (035, 023) was used. Symbols were bit-interleaved with a random pattern and modulated by a 16-QAM constellation.

20

The results of the simulation are illustrated in FIG. 9 and FIG. 10. Referring now to FIG. 9, a comparison of the average BER versus signal to noise ratio (SNR) is illustrated for an OFDM system. FIG. 10 illustrates a
25 comparison of the average PER versus SNR for the OFDM system.

In both FIG. 9 and FIG. 10, the OFDM system does not refine channel estimates and performs only two iterations
30 of the turbo-demodulator per global iteration. Three curves are shown:

(i) A first curve indicates the performance without employing the inventive concepts herein before described, identified as 'NONE 2 TD';

(ii) A second curve illustrates the performance when
5 implementing the proposed invention, identified as '1 EM-OFDM-SS 2 TD for $s^2=3e^{-3}$; and

(iii) A third curve illustrates the performance when implementing the proposed invention, identified as '1 EM-OFDM-SS2 2 TD' for $s^2=1e^{-16}$.

10

It is noteworthy that, by implementing the inventive concepts herein described, an improvement of around 2dB in BER/PER can be achieved. Moreover, provided that the variance s parameter is small enough the setting of the
15 parameter is not critical. Hence, the user does not have to perform precise tuning on the variance s parameter.

It is within the contemplation of the invention that the aforementioned inventive concepts can be applied to any
20 element in the communication system that performs decoding and channel estimation, for example a BTS communication unit and/or a MS.

In the preferred embodiment of the present invention, the
25 maximization step utilises a forward-backward approach. However, it is within the contemplation of the invention that alternative maximization techniques may be used in the decoder such that the decoder is still able to benefit from the inventive concepts described herein.

30

It will be understood that a method of channel estimation performed by a communication unit operating in an OFDM communication system as described above, tends to provide at least some of the following advantages:

5

(i) A mechanism to perform jointly de-mapping, decoding and channel estimation is described, whereby their performance can be globally optimised. In particular, the modification of the EM cost function results in the modification of the channel update formula, which provides an improved decoding performance.

10

(ii) There is no estimation error in a noiseless case. In practice, this means that the proposed estimator performs better than the strongest-path method based estimator for high SNR conditions.

15

(iii) Estimation noise is partially removed from the selected channel coefficients.

20

(iv) There is minimal additional complexity when compared to prior art arrangements.

(v) The inclusion of OFDM specificities in a novel and inventive approach enables bit-interleaved coded modulation improvements proposed by J. Boutros et al. to be translated to an OFDM scenario. In particular, the inventive concepts can be applied to any kind of mapping, and does not use pilot carriers. Nevertheless, the inventive concepts hereinbefore described may be used in the presence of pilot carriers, if desired.

25

30

Whilst specific, and preferred, implementations of the present invention are described above, it is clear that one skilled in the art could readily apply further variations and modifications of such inventive concepts.

5

Thus a method of channel estimation performed by a communication unit operating in an OFDM communication system has been provided wherein at least some of the aforementioned disadvantages with prior art arrangements

10 have been alleviated.

Claims

5

1. A method of channel estimation in a wireless orthogonal frequency division multiplexed (OFDM) communication system (700), comprises the steps of:

receiving a signal in the time domain;

10

applying a Fourier transform to said received signal to obtain a frequency domain signal including a plurality of sub-carriers;

estimating probabilities of coded bits for at least said plurality of frequency domain sub-carriers; and

15

performing channel coefficient estimation for at least said plurality of frequency domain sub-carriers characterised in that

said step of performing channel coefficient estimation for each of said plurality of frequency domain sub-carriers uses channel coefficient estimates for at least one other of said plurality of frequency domain sub-carriers.

20

2. A method according to claim 1 wherein said step of performing channel coefficient estimation is performed for each sub-carrier using the most recent estimates of other sub-carrier channel coefficients.

25

3. A method of channel estimation according to Claim 2 wherein said step of performing channel coefficient estimation for substantially each of said plurality of frequency domain sub-carriers uses channel

30

coefficient estimation benefits from said channel coefficient estimates for substantially all the other frequency domain sub-carriers of said plurality.

5 4. A method of channel estimation according to Claim 3, wherein said plurality of frequency domain sub-carriers comprises substantially all the sub-carriers of said frequency domain signal.

10 5. A method of channel estimation according to any one preceding claim and further comprising repeating said steps of estimating probabilities and performing channel coefficient estimation so as to improve iteratively an accuracy of said channel coefficient
15 estimates.

6. A method of channel estimation according to Claim 5, wherein the k th channel coefficient estimation is substantially in accordance with the following
20 equation:

$$H_k^{(p+1)} = \frac{P(y_k | x_k, H_k^{(p)}) [y_k \bar{x}_k - \sigma^2 (\Delta^{-1})_k \tilde{H}^{(k)}]}{P(y_k | x_k, H_k^{(p)}) \left[|x_k|^2 - \frac{\sigma^2}{v^2} + \frac{v^2}{\gamma^2} \right]}$$

where $H_k^{(p+1)}$ is the $(p+1)$ th estimate and $H_k^{(p)}$ the p th

estimate of the channel coefficients, y_k is the received data corresponding to the transmitted data

25 x_k , σ^2 is the channel noise variance, $\tilde{H}^{(k)}$ is the channel coefficient vector H with a 0 on the k th component and Δ^{-1} , v^2 and γ^2 have the meanings indicated hereinabove.

7. A method of channel estimation according to any one preceding claim, wherein the step of performing channel coefficient estimates comprises replacing
 5 previously estimated channel coefficients of said plurality of frequency domain sub-carriers with respective current channel coefficient estimates.

8. A method of channel estimation according to Claim
 10 5, wherein repeating said step of performing channel coefficient estimation comprises applying a cost function on an Expectation-Maximization algorithm on said plurality of frequency domain sub-carriers to improve said channel coefficient estimates.

15 9. A method of channel estimation according to Claim 8, wherein said step of performing a channel coefficient estimation includes calculating an auxiliary function, the method further characterised
 20 by the step of:
 performing a Maximisation process on said auxiliary function in substantially the following manner:

$$Q(H_m, H_m^{(p)}) = E_{x_m} \left[\log P(x_m, y_m, \tilde{H}^{(m)} | H_m) | y_m, H_m^{(p)} \right] \quad .$$

25 10. A method of channel estimation according to Claim 5, wherein said step of performing a channel coefficient estimation includes applying a forward-backward algorithm on said received signal to said plurality of channel coefficient estimates in which
 30 estimates are made in a first order of said plurality of frequency domain sub-carriers and subsequently estimates are made in a reversed order of said

plurality of frequency domain sub-carriers so as substantially to equalise an estimation accuracy across said plurality of frequency domain sub-carriers.

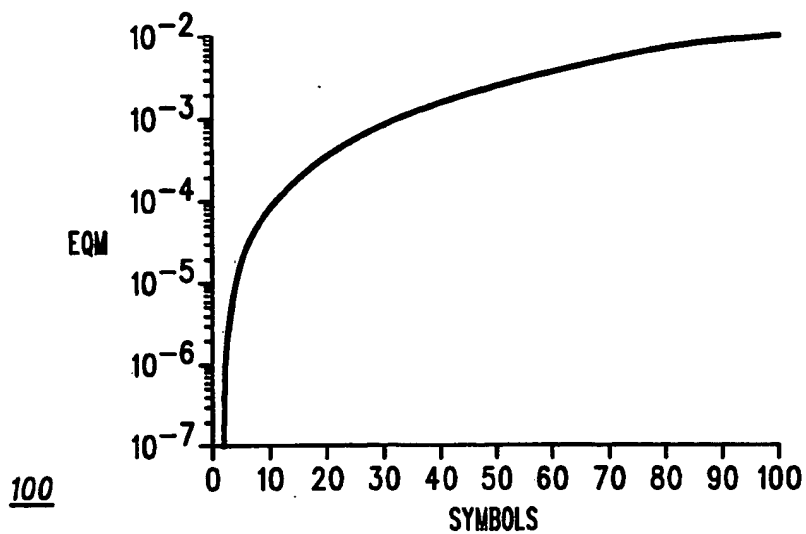
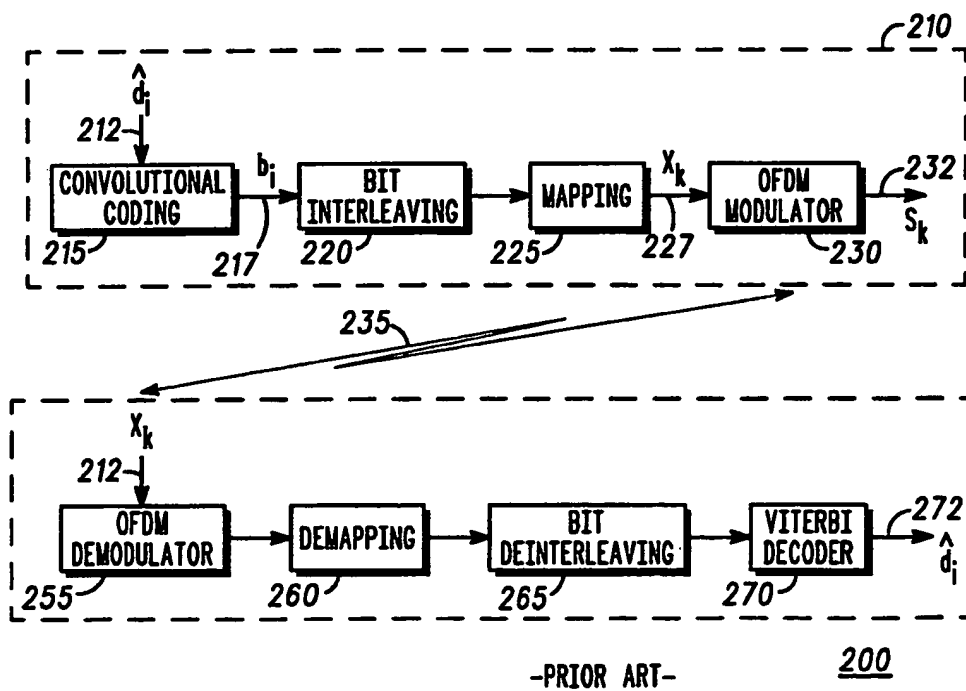
5 11. A method according to any one of claims 1 to 10 wherein the Fourier transform is a Fast Fourier Transform (FFT).

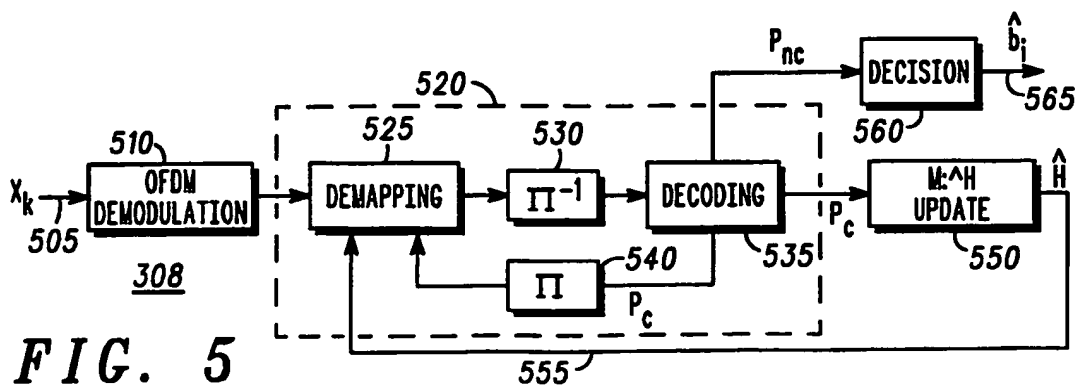
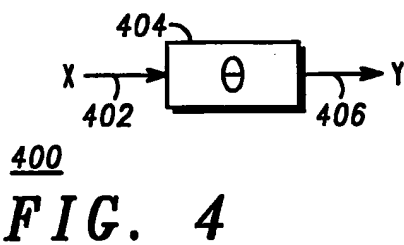
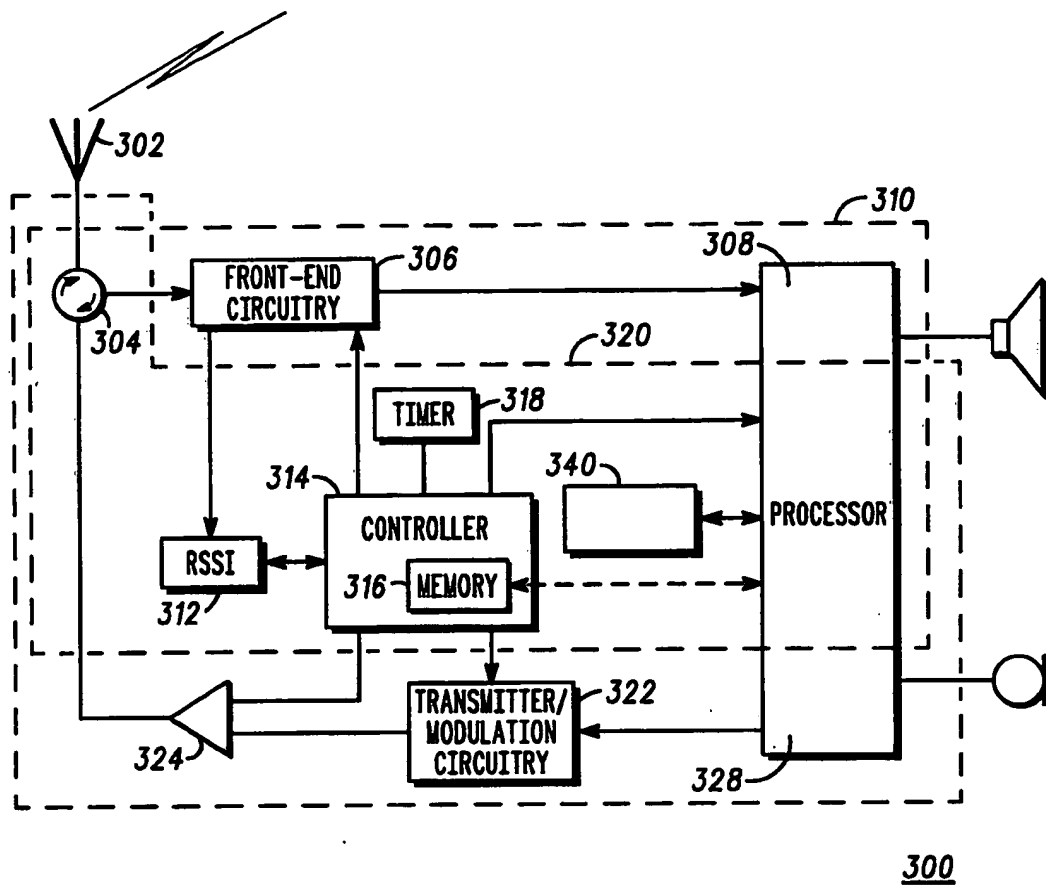
12. An orthogonal frequency division multiplexed (OFDM) receiver for a method of channel estimation as
10 claimed in any one preceding Claim, and comprising:

demodulation means for applying said Fourier transform to said received signal to obtain said frequency domain signal including a plurality of sub-carriers;

15 decoding means for decoding the received signal and estimating said probabilities of coded bits for at least said plurality of frequency domain sub-carriers; and

channel estimation means for performing channel
20 coefficient estimation for each of said plurality of frequency domain sub-carriers using channel coefficient estimates for at least one other of said plurality of frequency domain sub-carriers.

**FIG. 1****FIG. 2**



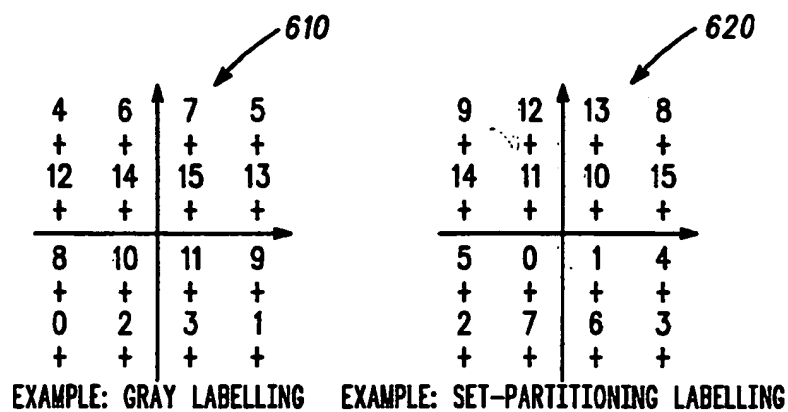


FIG. 6

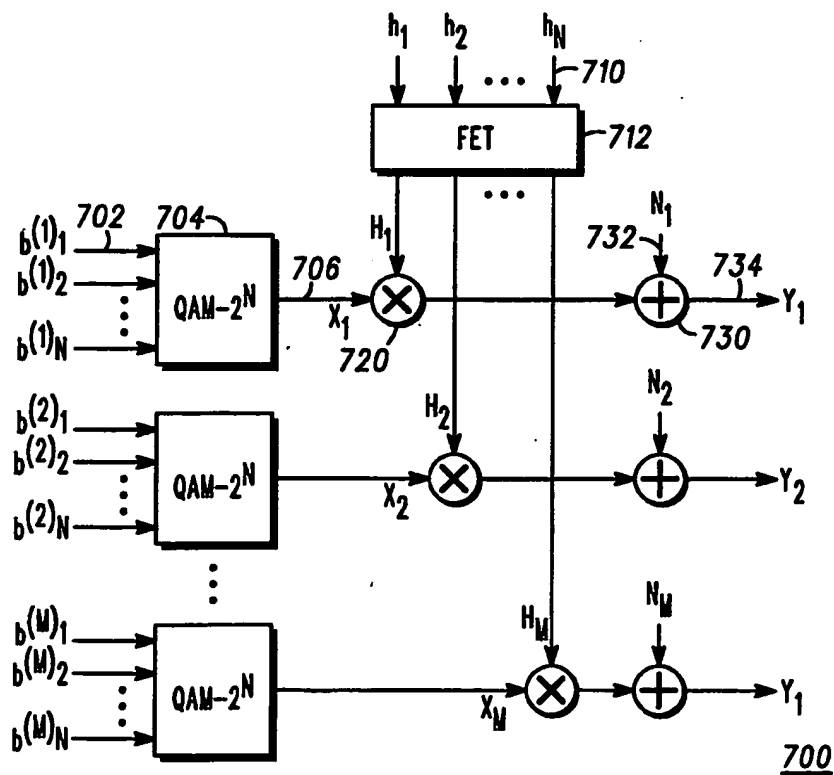
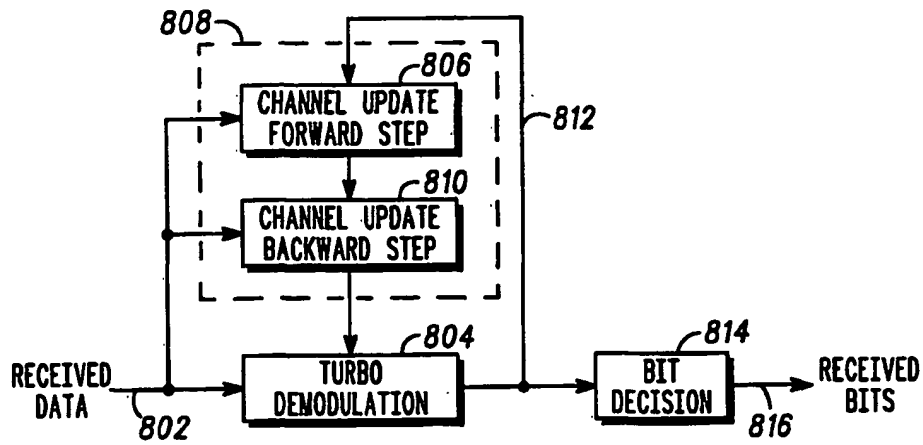
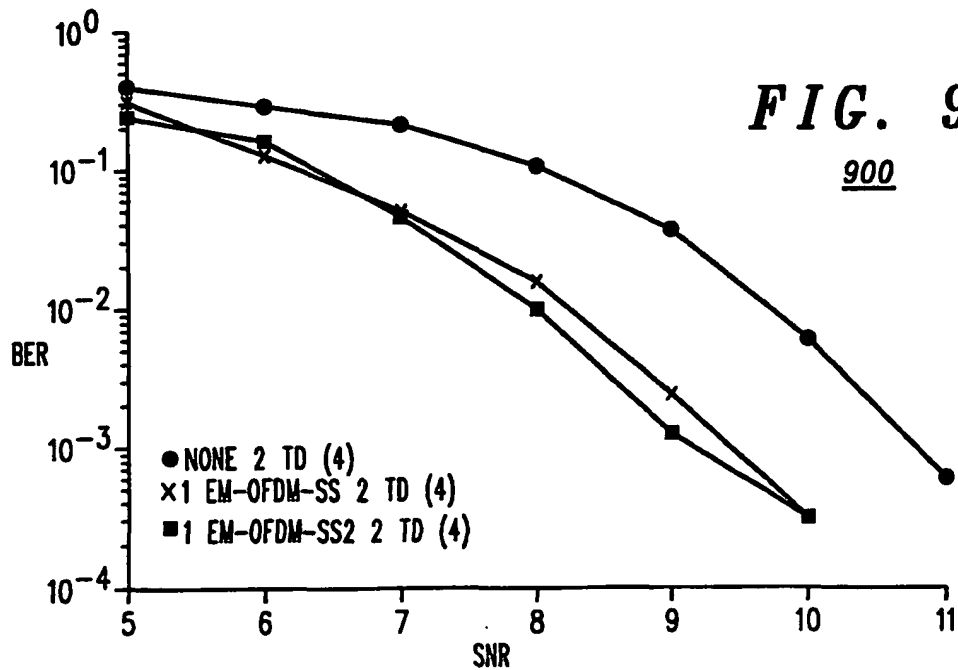


FIG. 7

800**FIG. 8****FIG. 9**900

INTERNATIONAL SEARCH REPORT

PCT/EP 03/50774

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04L25/02 H04L27/26

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

PAJ, WPI Data, EPO-Internal, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PANAYIRCI, ÇIRPAN: "Maximum a posteriori multipath fading channel estimation for OFDM systems" EUROPEAN TRANSACTIONS ON TELECOMMUNICATIONS, vol. 13, no. 5, September 2002 (2002-09), pages 487-494, XP001133082 Milan, IT * section 4 *	1-12

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- *Z* document member of the same patent family

Date of the actual completion of the international search

13 April 2004

Date of mailing of the international search report

21/04/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Scriven, P

INTERNATIONAL SEARCH REPORT

PCT/EP 03/50774

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>XIANGYANG ZHUANG, VOOK: "Iterative channel estimation and decoding for a turbo-coded OFDM system via the EM algorithm"</p> <p>IEEE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH, AND SIGNAL PROCESSING, 13 - 17 May 2002, pages 2337-2340, XP001148757</p> <p>2002, Piscataway, NJ, USA, IEEE, USA</p> <p>* section 4 *</p>	1-12
X	<p>JAFFROT, SIALA: "Turbo channel estimation for OFDM systems on highly time and frequency selective channels"</p> <p>IEEE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH, AND SIGNAL PROCESSING. PROCEEDINGS, 5 - 9 June 2000, pages 2977-2980, XP002171257</p> <p>New York, US</p> <p>cited in the application</p> <p>* section 6 *</p>	1-12
X	<p>EP 1 154 602 A (LUCENT)</p> <p>14 November 2001 (2001-11-14)</p> <p>abstract; figure 8</p>	1-5,7-12
A	<p>WO 99/60940 A (GLENAYRE ELECTRONICS)</p> <p>2 December 1999 (1999-12-02)</p> <p>abstract</p>	1,12

INTERNATIONAL SEARCH REPORT

PCT/EP 03/50774

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
EP 1154602	A	14-11-2001	EP	1154602 A1	14-11-2001
WO 9960940	A	02-12-1999	US	6173011 B1	09-01-2001
			AU	4201899 A	13-12-1999
			CA	2333527 A1	02-12-1999
			CN	1305356 T	25-07-2001
			EP	1079758 A1	07-03-2001
			WO	9960940 A1	02-12-1999